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NASCIS007
Abuse of Vulnerable Adults 2011-12 Comparator Report

York (219)

This report is based on the initial submission of AVA data which is not yet publicly available. It is intended for INTERNAL use only for management information in line with the terms and conditions set out on the NASCIS website

Restricted Statistics - published 27th July 2012

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NASCIS007 Abuse of Vulnerable Adults (AVA) 2011-12 Comparator Report

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Chart 01 – Number of alerts and referrals per 100,000 population, 2011-12

Source: AVA Table 1

Restricted Statistics. Data for 2011-12 is based on raw, unvalidated information. Comparator group councils are based on the CIPFA Statistical Nearest Neighbours (post April 2009) model with the default variables selected.

Not all councils record data on alerts, for those which do, it is not necessary for every referral to be preceded by an alert.

A large difference in the number of alerts and referrals may indicate a good awareness among professionals and the community of safeguarding procedures. However it may also indicate issues with Safeguarding thresholds.

The England data for referrals includes referrals for those councils who do not record alerts.

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Chart 02 – Primary Client Type of adults referred to safeguarding, 2011-12
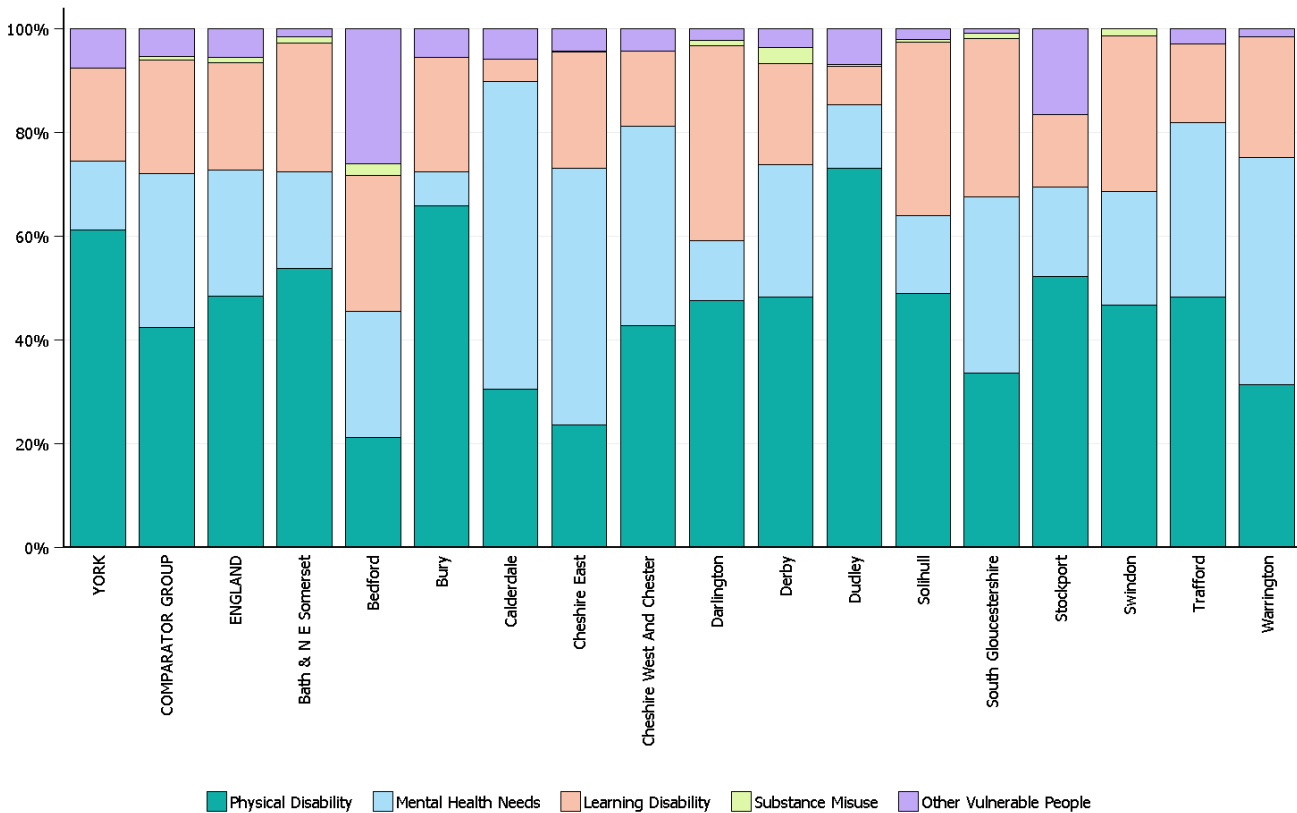
Legend: Physical Disability, Mental Health Needs, Learning Disability, Substance Misuse, Other Vulnerable People

Source: AVA Table 1

Restricted Statistics. Data for 2011-12 is based on raw, unvalidated information. Comparator group councils are based on the CIPFA Statistical Nearest Neighbours (post April 2009) model with the default variables selected.

This chart is based on referrals data only.

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Chart 03 – Age group of adults referred to safeguarding, 2011-12

Source: AVA Table 1

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This chart is based on referrals data only.

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Chart 04 - Repeat referrals as a percentage of all referrals, 2011-12
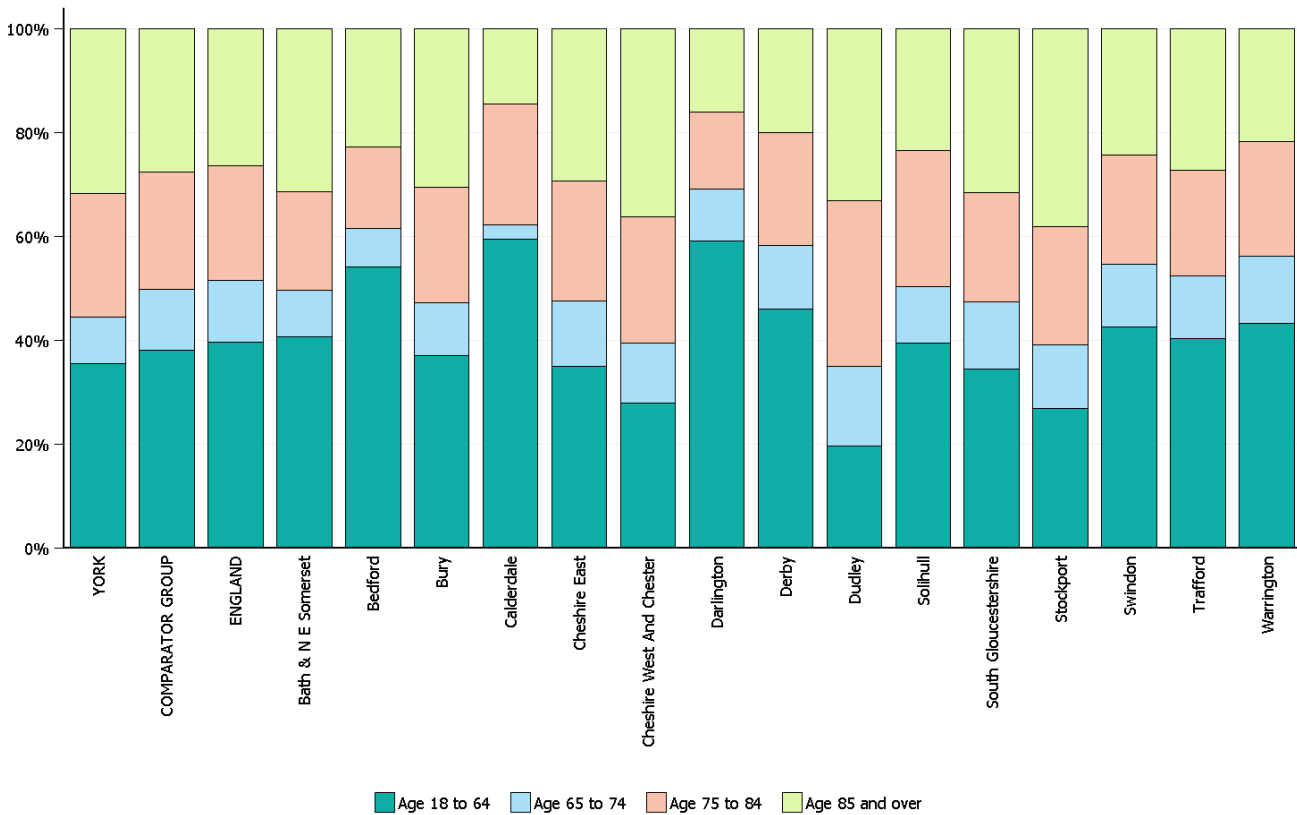

Source: AVA Table 1

Restricted Statistics. Data for 2011-12 is based on raw, unvalidated information. Comparator group councils are based on the CIPFA Statistical Nearest Neighbours (post April 2009) model with the default variables selected

Repeat referrals is an in-year count of repeats about the same vulnerable adult during the current collection period. A high figure may indicate that safeguarding measures previously put in place are not working.

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Chart 05 - Completed referrals as a percentage of all referrals, 2011-12
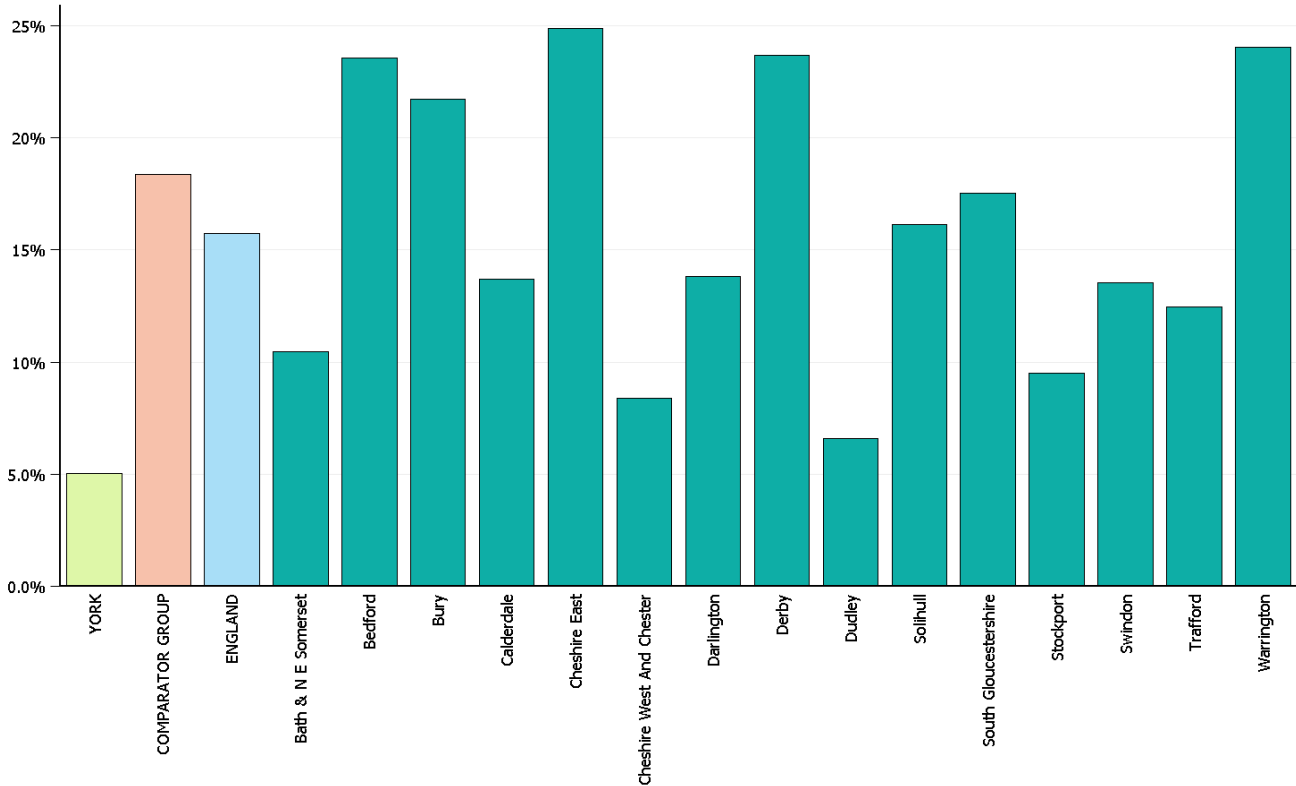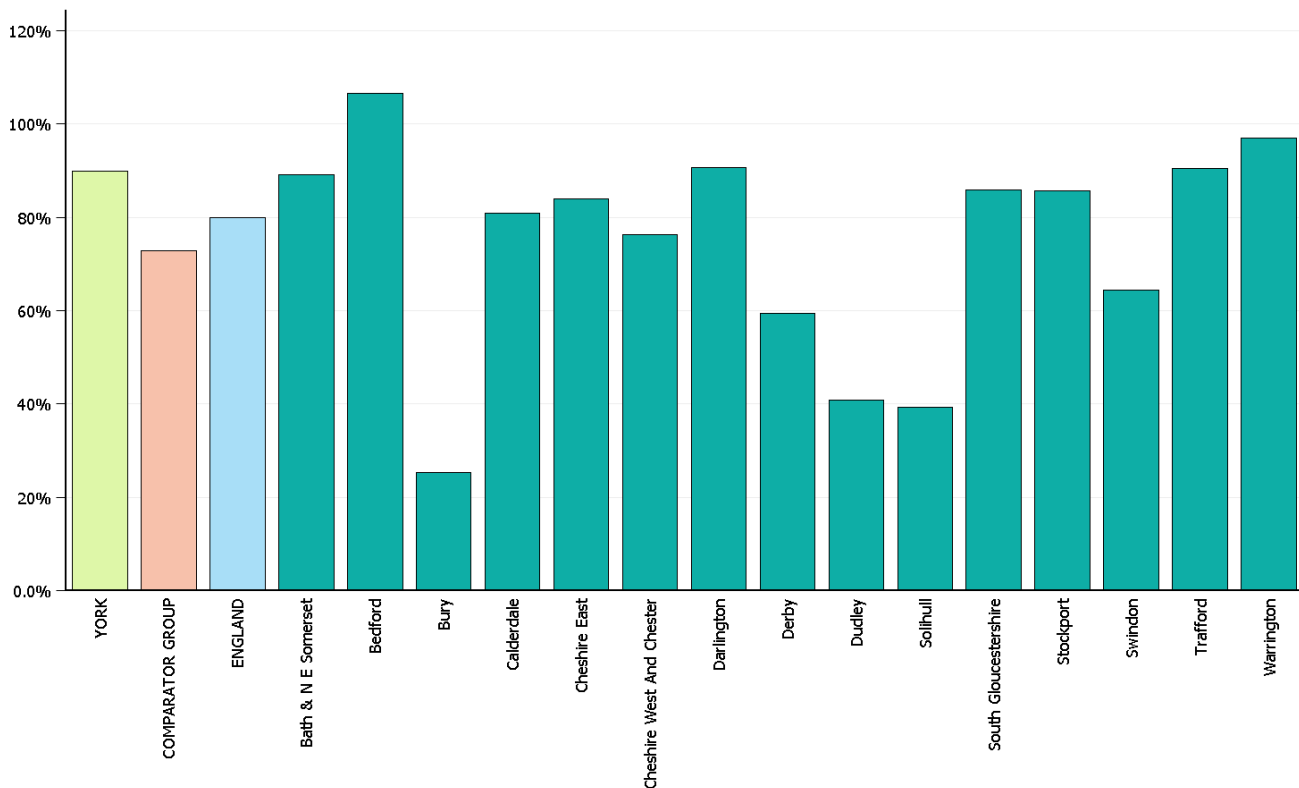

Source: AVA Table 1

Restricted Statistics. Data for 2011-12 is based on raw, unvalidated information. Comparator group councils are based on the CIPFA Statistical Nearest Neighbours (post April 2009) model with the default variables selected

Completed referrals is an in-year count of referrals completed in the reporting period, some of which may have been counted as a referral in the previous reporting period therefore the number of completed referrals can be higher or lower than the number of referrals.

If the percentage is comparatively low this may indicate difficulties in decision making or hold-ups in the process to complete referrals.

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Chart 06 – Percentage of all referrals where key information about the vulnerable adult was incomplete, 2011-12

Source: AVA Table 1

Restricted Statistics. Data for 2011-12 is based on raw, unvalidated information. Comparator group councils are based on the CIPFA Statistical Nearest Neighbours (post April 2009) model with the default variables selected
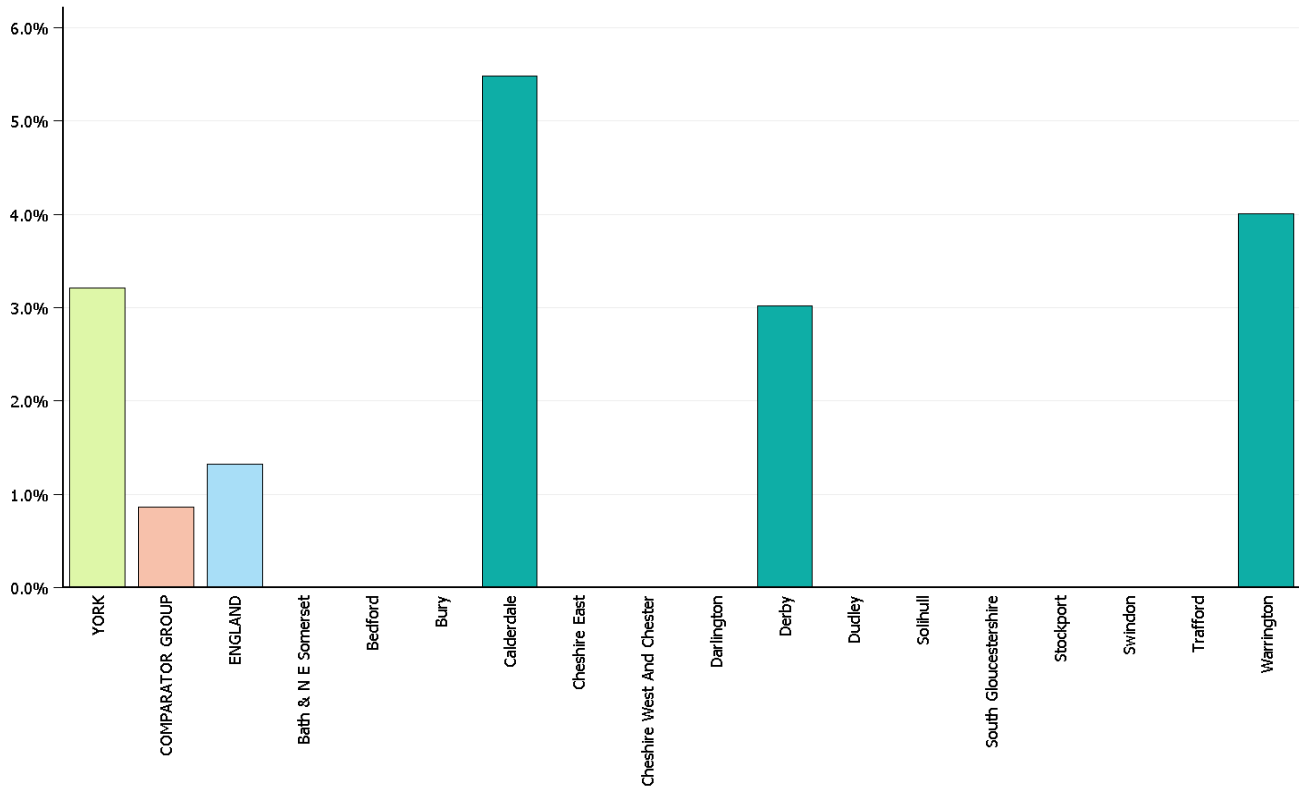
Referrals where at least one of age, gender or primary client type of the vulnerable adult is not known are recorded under the "unknowns" line in AVA Table 1.

If this value is comparatively high this may indicate recording or systems issues at initial points of contact.

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Chart 07 – Percentage of referrals where vulnerable adult was known to CASSR at time of referral, 2011-12
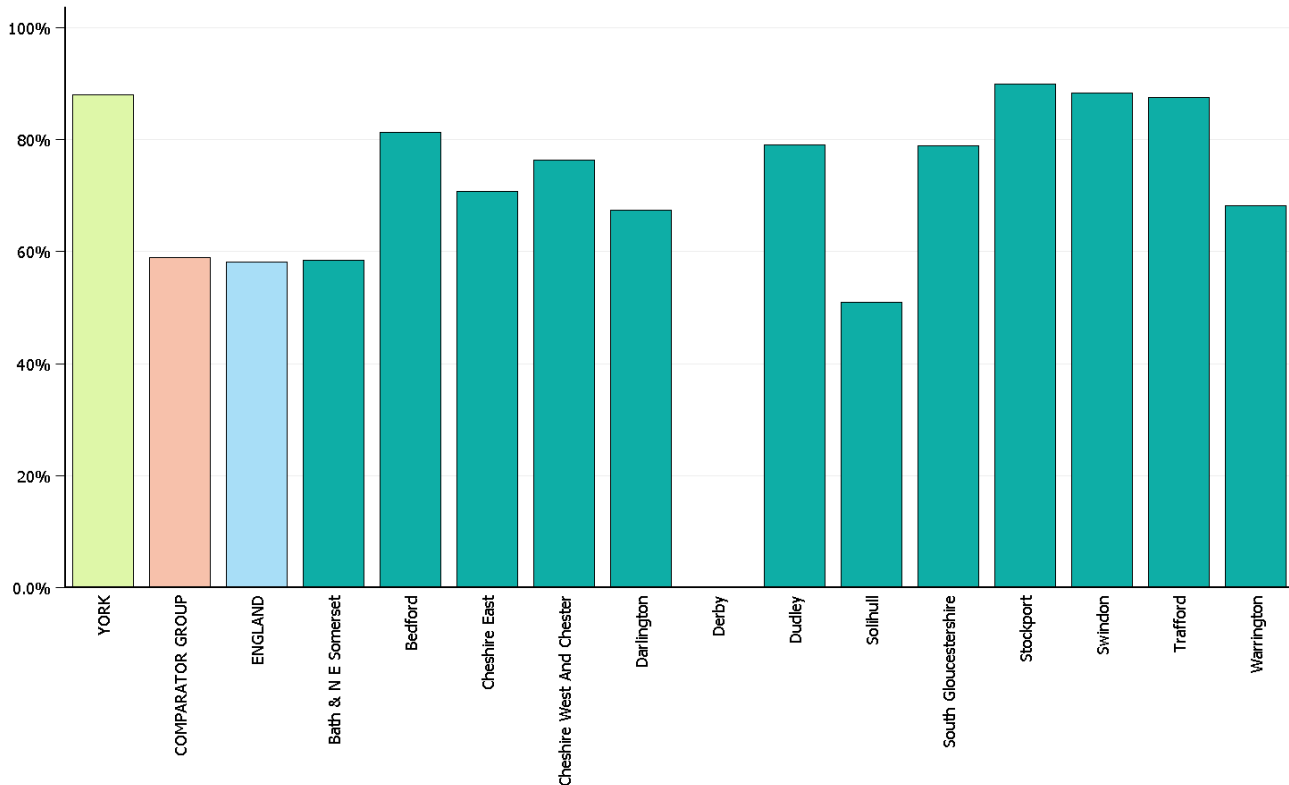
Source: AVA Table 1

Restricted Statistics. Data for 2011-12 is based on raw, unvalidated information. Comparator group councils are based on the CIPFA Statistical Nearest Neighbours (post April 2009) model with the default variables selected

A large range of percentages across the comparator group may indicate poor interpretation of the AVA requirements for this measure.

If interpretation is found to be correct and the percentage is close to 100% this may indicate that safeguarding practises are not reaching those who are not already known to social services.

The England data in this chart is based on data submitted by 139 councils.

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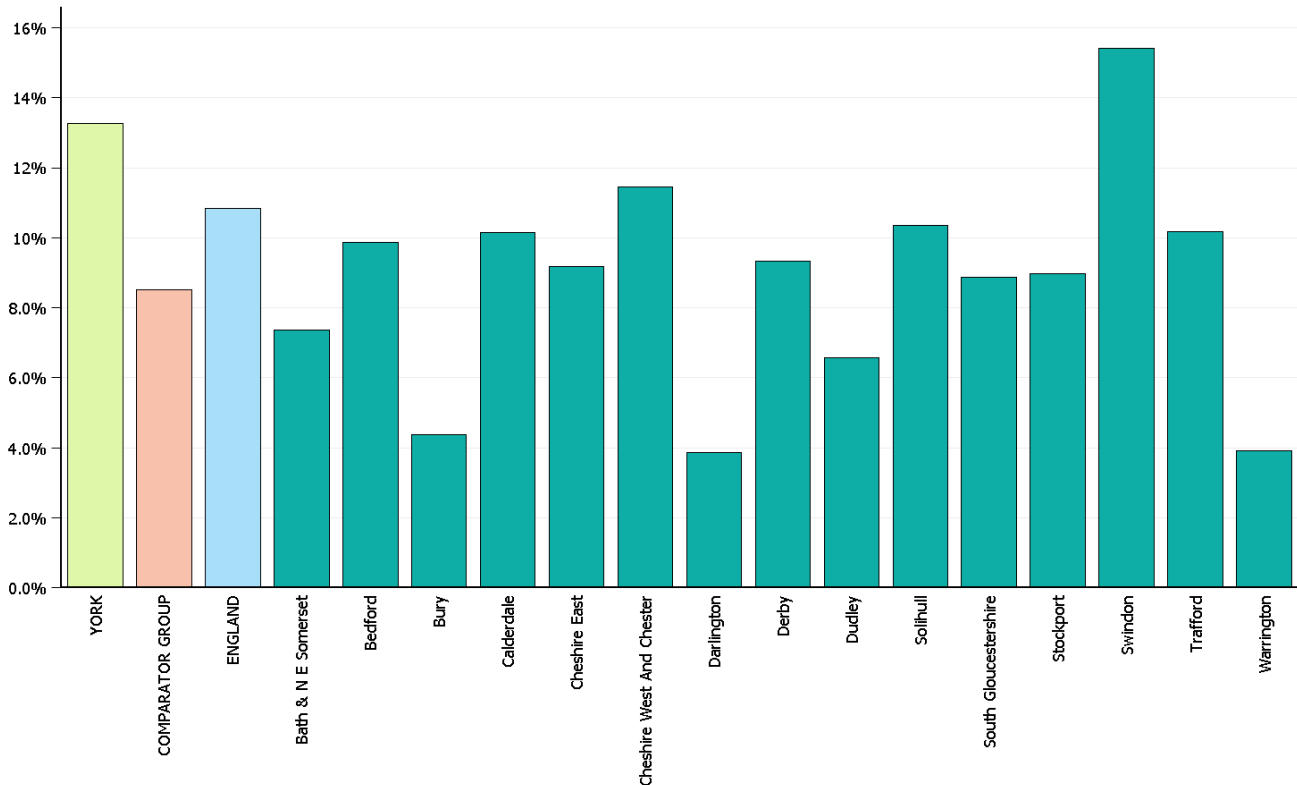
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Chart 08 – Self, friends or family referrers as a percentage of all referrers, 2011-12

Source: AVA Table 3

Restricted Statistics. Data for 2011-12 is based on raw, unvalidated information. Comparator group councils are based on the CIPFA Statistical Nearest Neighbours (post April 2009) model with the default variables selected
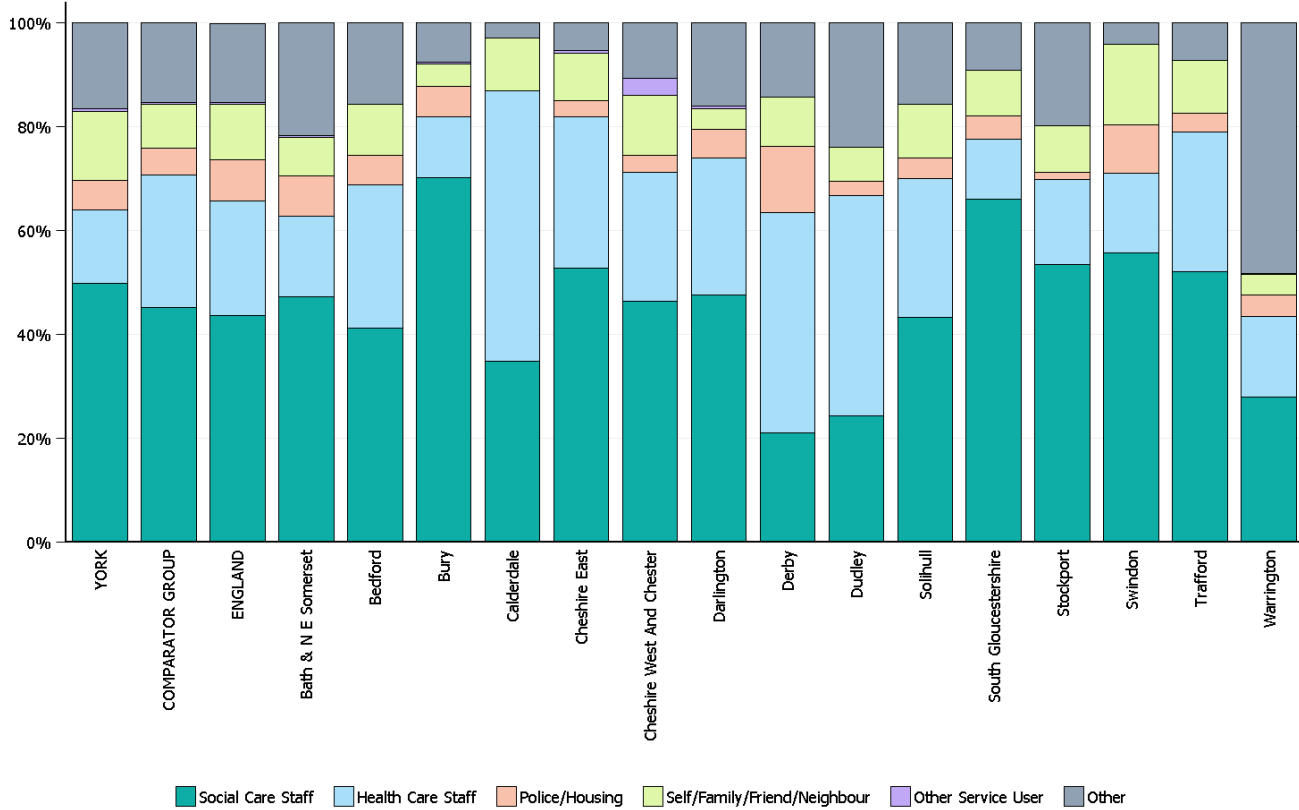
Higher percentages may be a good indication that safeguarding awareness is good in the community and routes for reporting concerns are known.

This may also indicate that local strategies around empowerment and putting the vulnerable adult at the centre of the process are progressing positively

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Chart 09 – Distribution of referral sources, 2011-12

Legend: Social Care Staff | Health Care Staff | Police/Housing | Self/Family/Friend/Neighbour | Other Service User | Other

Source: AVA Table 3

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Other includes cases where referrer was recorded as CQC or Education/Training/Workplace as well as those recorded as "Other".

A significant percentage of referrals from police/housing and from health staff may indicate good partnership working.

A low percentage of referrals from Social Care staff may be cause for concern about whether social care assessments and reviews are picking up safeguarding issues.

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Chart 10 – Distribution of location the alleged abuse took place, 2011-12
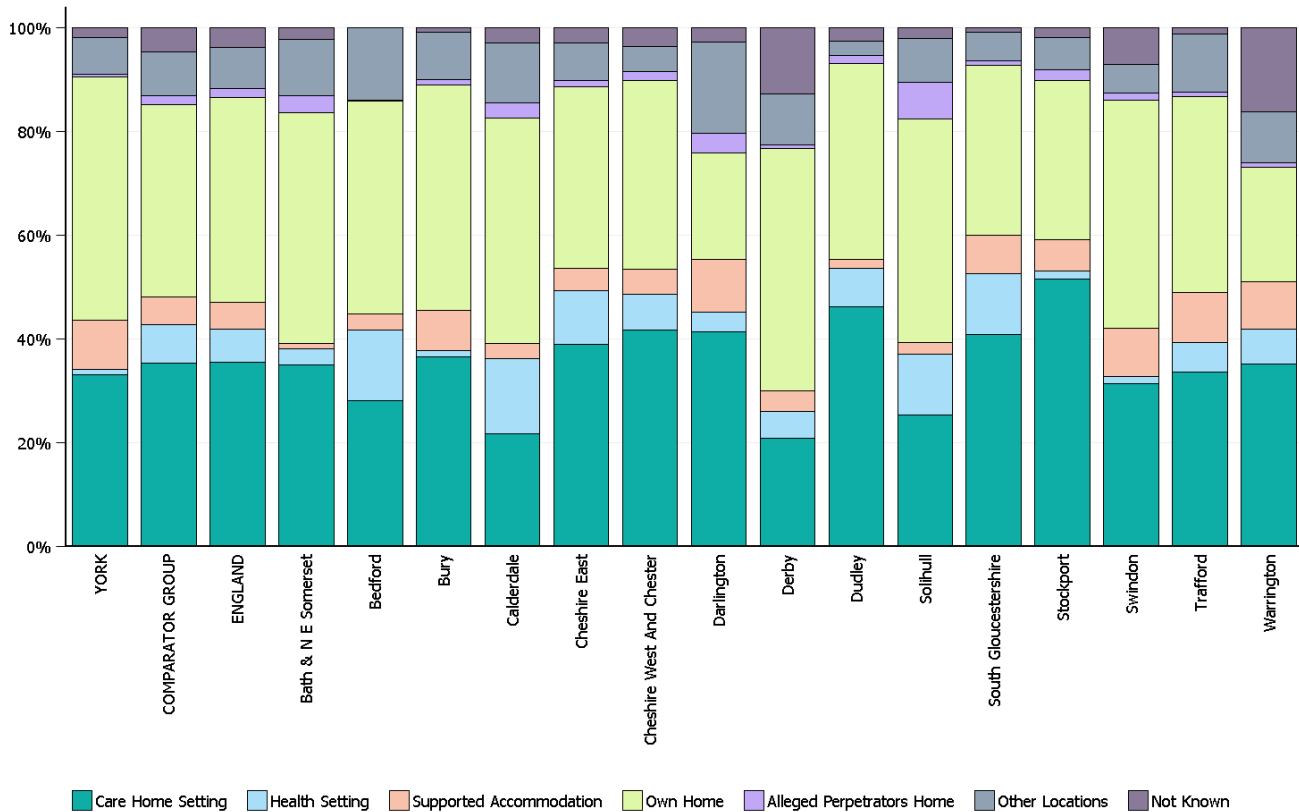
Source: AVA Table 5A

Restricted Statistics. Data for 2011-12 is based on raw, unvalidated information. Comparator group councils are based on the CIPFA Statistical Nearest Neighbours (post April 2009) model with the default variables selected.

Care Home Setting includeds both permanent and temporary placements in care or nursing homes. Health Setting includes acute and community hospitals, mental health inpatient settings and "other" health settings.

Other Locations include day centre/services, public place, education/training/workplace establishments and those recorded as "other".

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Table 11 – Relationship to alleged perpetrator shown as percentage of all relationships recorded, 2011-12

	Partner	Other family member	Health Care Worker	Volunteer / Befriender	Social Care Staff	Other professional	Other Vulnerable Adult	Neighbour / Friend	Stranger	Not Known	Other
YORK	6%	18%	2%	0%	35%	0%	13%	5%	2%	9%	9%
COMPARATOR GROUP	6%	15%	5%	0%	26%	3%	12%	5%	2%	15%	10%
ENGLAND	6%	16%	5%	0%	28%	3%	13%	6%	2%	14%	8%
Bath & N E Somerset	7%	25%	2%	0%	29%	0%	8%	11%	2%	8%	7%
Bedford	9%	13%	9%	0%	35%	2%	10%	7%	5%	8%	2%
Bury	8%	18%	1%	0%	37%	1%	7%	2%	4%	8%	14%
Calderdale	6%	28%	6%	1%	20%	1%	1%	12%	3%	19%	3%
Cheshire East	6%	14%	4%	0%	26%	1%	18%	4%	0%	20%	6%
Cheshire West And Chester	9%	19%	7%	0%	27%	2%	13%	4%	1%	13%	5%
Darlington	2%	13%	2%	3%	53%	1%	9%	7%	1%	4%	5%
Derby	9%	19%	2%	0%	6%	12%	7%	8%	3%	18%	16%
Dudley	3%	16%	7%	0%	23%	3%	5%	2%	0%	32%	8%
Solihull	4%	19%	8%	0%	26%	5%	0%	5%	1%	15%	18%
South Gloucestershire	8%	12%	11%	0%	38%	0%	19%	5%	2%	4%	1%
Stockport	4%	16%	3%	0%	42%	2%	10%	3%	1%	9%	11%
Swindon	15%	17%	0%	0%	28%	0%	14%	16%	1%	9%	0%
Trafford	4%	10%	8%	0%	46%	2%	10%	6%	3%	4%	8%
Warrington	3%	8%	10%	0%	7%	3%	26%	3%	0%	24%	16%

Source: AVA Table 6A

Restricted Statistics. Data for 2011-12 is based on raw, unvalidated information. Comparator group councils are based on the CIPFA Statistical Nearest Neighbours (post April 2009) model with the default variables selected
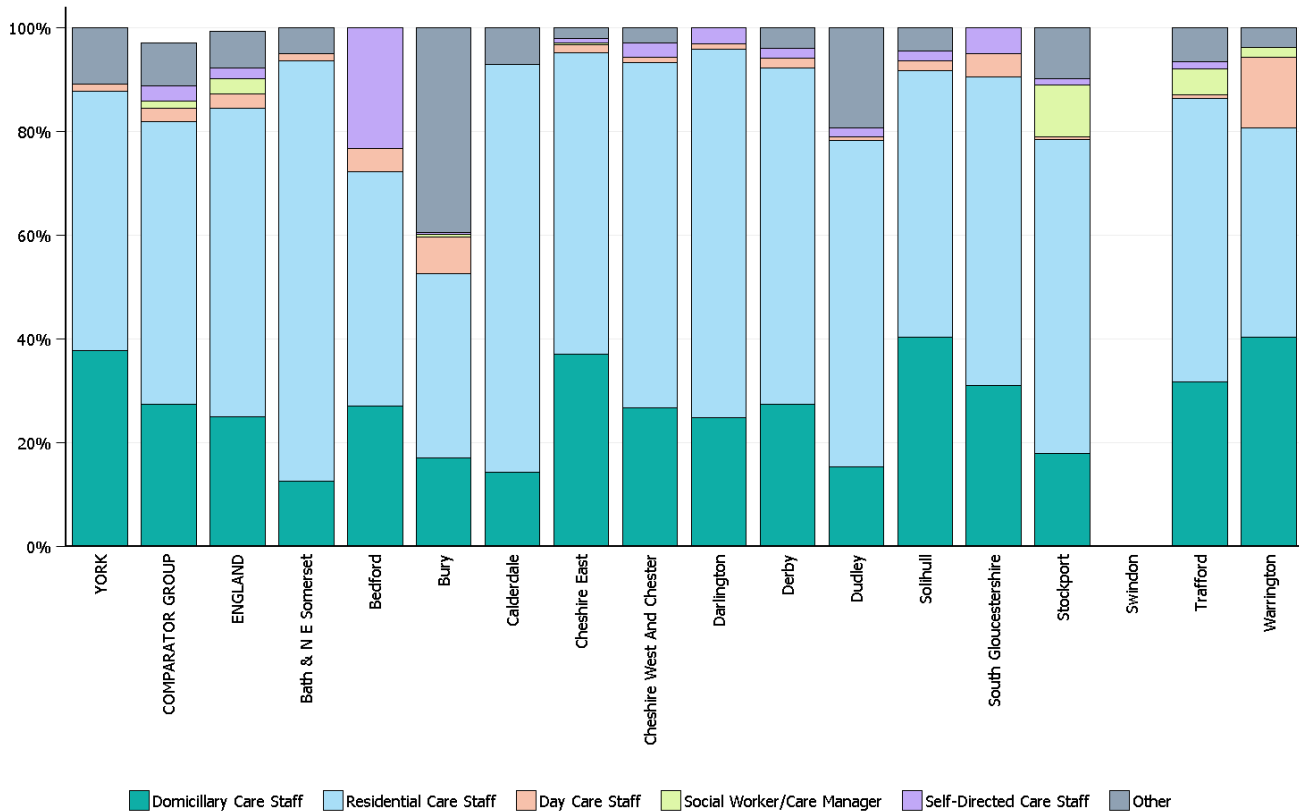
A comparatively large percentage of relationships recorded as unknown may be a cause of concern.

Any relationships for which the percentage differs significantly from the other comparator councils and England may raise questions about whether adequate safeguarding processes are present in related locations.

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Chart 12 – Distribution of the relationship between the alleged perpetrator who is social care staff and the vulnerable adult, 2011-12

Source: AVA Table 6A

Restricted Statistics. Data for 2011-12 is based on raw, unvalidated information. Comparator group councils are based on the CIPFA Statistical Nearest Neighbours (post April 2009) model with the default variables selected.

As social care staff accounts for a significant proportion of relationship data this has been broken down further into different categories of social care staff which includes both council and independent staff.

Any relationships for which the percentage differs significantly from the other comparator councils and England may provide evidence to support further targeting and training.

There may be cases where a council has provided the total number of perpetrators which were social care staff but have not broken this down into type of social care staff, therefore appearing as a blank in this chart.

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Chart 13 – Acceptance of protection plan, 2011-12

Legend: ■ Protection plan accepted ■ Protection plan declined ■ Could not consent to offer
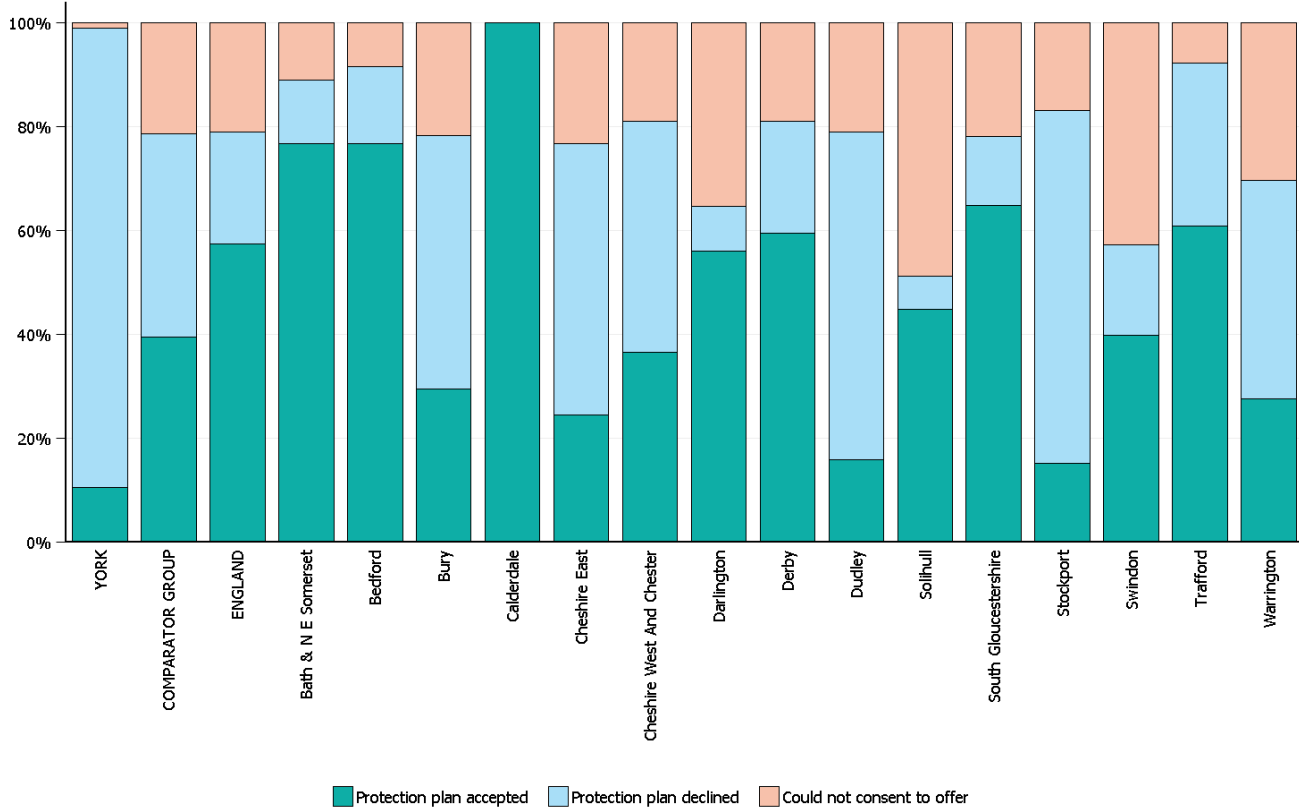
Source: AVA Table 8c

Restricted Statistics. Data for 2011-12 is based on raw, unvalidated information. Comparator group councils are based on the CIPFA Statistical Nearest Neighbours (post April 2009) model with the default variables selected.

A large percentage of protection plans being declined may raise concerns about whether the vulnerable adult is being effectively engaged with during the safeguarding process.

The England data in this chart is based on data submitted by 146 councils.

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Chart 14 – Distribution of case outcome/conclusion 2011-12
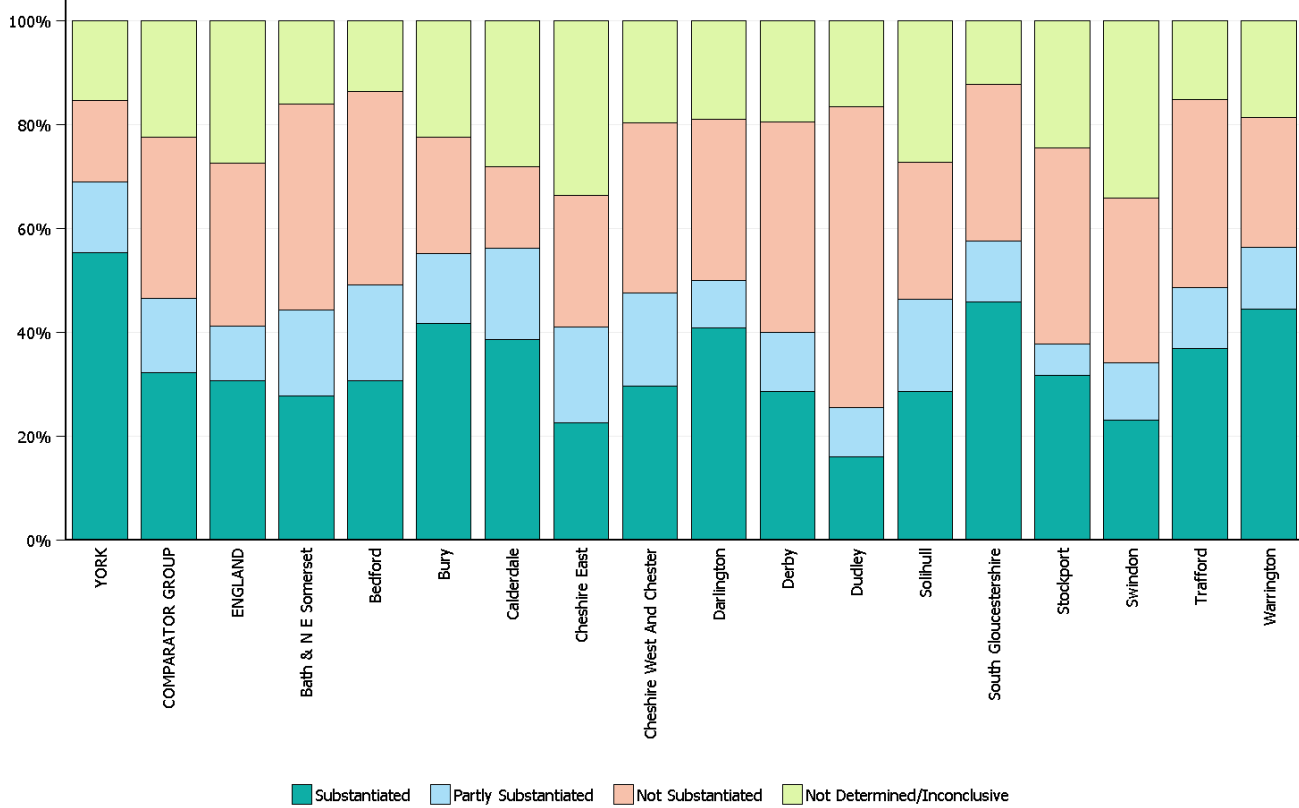
Source: AVA Table 7A

Restricted Statistics. Data for 2011-12 is based on raw, unvalidated information. Comparator group councils are based on the CIPFA Statistical Nearest Neighbours (post April 2009) model with the default variables selected.

Large percentages of Not Determined/Inconclusive outcomes or Not Substantiated outcomes may indicate issues with safeguarding investigation and decision making processes.

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Chart 15 – Comparison of outcomes data: Percentage of completed referrals that were Not substantiated with percentage where victim outcome was No Further Action and percentage where a protection plan was offered, 2011-12

Legend: ■ Allegations Not Substantiated ■ No further action for victim ■ Protection plan offered
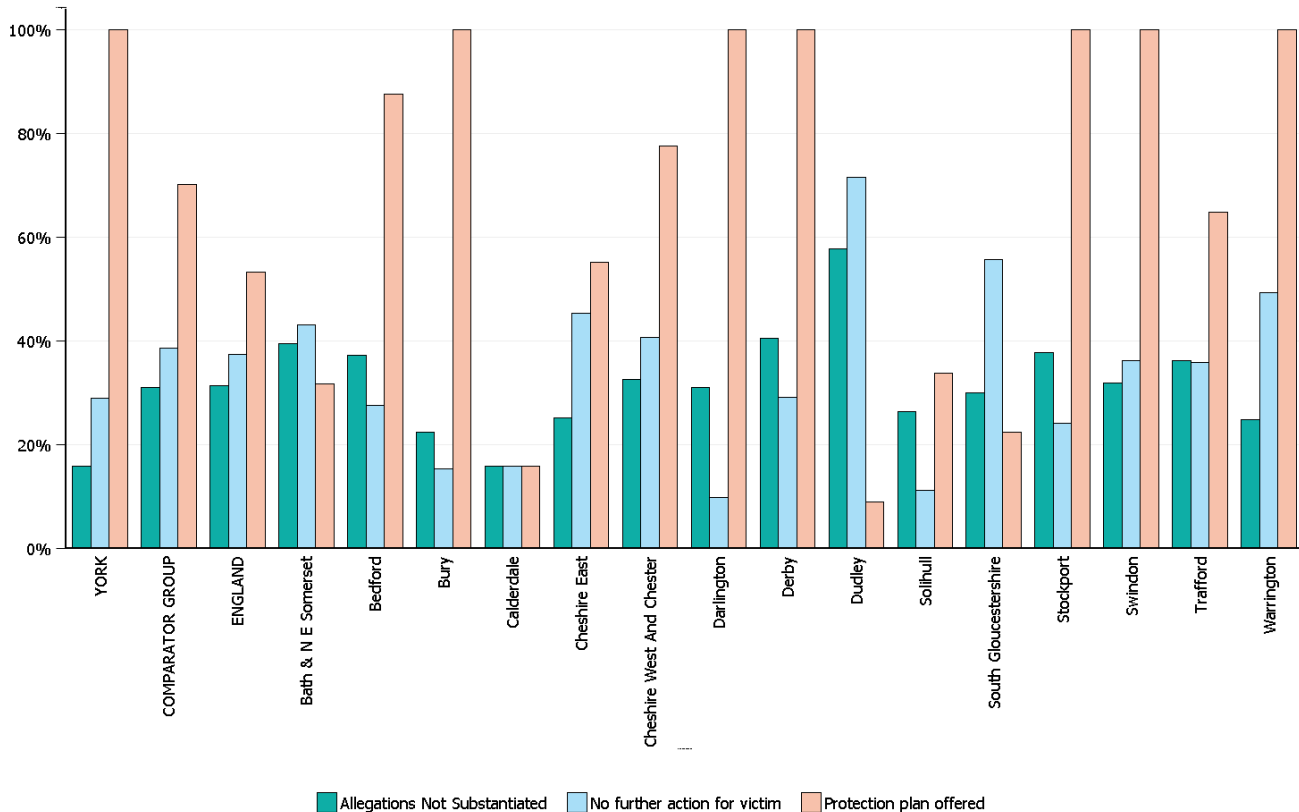
Source: AVA Table 7A, AVA Table 8A, AVA Table 8C & AVA Table 1

Restricted Statistics. Data for 2011-12 is based on raw, unvalidated information.Comparator group councils are based on the CIPFA Statistical Nearest Neighbours (post April 2009) model with the default variables selected.

It is expected that the percentage of referrals where the allegations where not substantiated will be similar to the percentage where the victim outcome is No Further Action.

It is also expected that where cases are not substantiated a protection plan would not be necessarily be offered.

The England data where the victim outcome was No Further Action is based on 151 councils and protection plan data is based on 146 councils.

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Chart 16 – Percentage of completed referrals where the outcome was recorded as Other for the victim or Not Known for the perpetrator, 2011-12
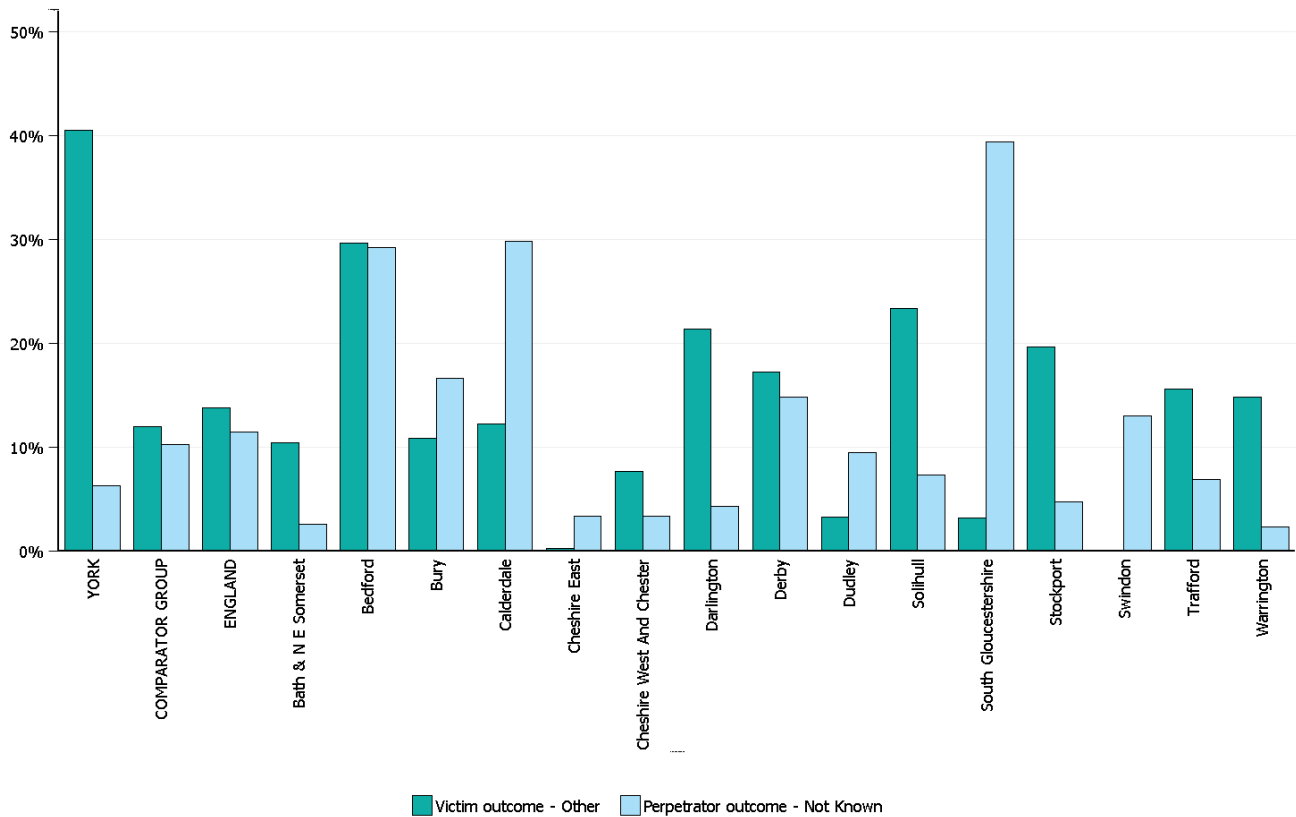
Source: AVA Table 8A, AVA Table 9 & AVA Table 1

Restricted Statistics. Data for 2011-12 is based on raw, unvalidated information.Comparator group councils are based on the CIPFA Statistical Nearest Neighbours (post April 2009) model with the default variables selected.

Overuse of these categories is discouraged as it does not provide meaningful information, therefore high percentages in comparison to comparator councils and England may raise queries about recording practices.

The England data for completed referrals where the outcome for the victim was Other is based on 151 councils. The England data where the perpetrator outcome was Not Known is based on 151 councils.

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Appendix 1: Data sources and guidance

The charts and tables featured in this report are listed in the table below, with sources for the numerators and denominators and how to find them in the On-Line Analytical Processer (OLAP) on NASCIS. To access the OLAP tool, visit the NASCIS website http://nascis.ic.nhs.uk

To obtain data using the OLAP tool, where the *total* of a dimension is required, ensure that totals are displayed by selecting the view totals button at top left.

In some cases in this report, you can obtain the percentages by using the % distribution measure within the OLAP. In these instances, the denominator is superfluous, however if you would like to review the figures, the denominator can be determined.

For further guidance on using OLAP, please consult the OLAP user guidance
http://nascis.ic.nhs.uk/Portal/OLAPGuidance.pdf

Chart	Numerator(s)	Denominator(s)
Chart 01 Number of alerts and referrals per 100,000 population	**AVA return:** 1. Table 1, line 34, column 3 2. Table 1, line 34, column 6 **OLAP:** 1. AVA Table 1 Alerts – Year dimension; Per 100,000 population measure 2. AVA Table 1 Referrals –Year dimension; Per 100,000 population measure	**Population data:** 1. ONS mid-year population estimates 2. (numerator/population estimate) x 100,000) **OLAP:** 1. Per 10k and Per 100k population measures are available on OLAP. ONS mid-year population estimates are not available in OLAP. Please contact info@statistics.gov.uk to request this data from ONS.
Chart 02 Primary Client Type of adults referred to safeguarding	**AVA return:** 1. Table 1, sum of lines 1, 9, 17, 25, column 6 2. Table 1, sum of lines 3, 11, 19, 27, column 6 3. Table 1, sum of lines 5, 13, 21, 29, column 6 4. Table 1, sum of lines 6, 14, 22, 30, column 6 5. Table 1, sum of lines 7, 15, 23, 31, column 6 **OLAP:** 1. AVA Table 1 Referrals – Age band & Client Type dimension: Age 18-64, 65-74, 75-84, 85 and over, expanded to client types	**AVA return:** 1. Table 1, line 33, column 6 **OLAP:** 1. AVA Table 1 Referrals – Age band & Client Type dimension: Age 18 and over, 18+ excluding unknown

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Chart 03 Age group of adults referred to safeguarding	**AVA return:** 1. Table 1, line 8, column 6 2. Table 1, line 16, column 6 3. Table 1, line 24, column 6 4. Table 1, line 32, column 6 **OLAP:** 1. AVA Table 1 Referrals – Age band & Client Type dimension: Age 18-64, 65-74, 75-84, 85 and over, Totals	**AVA return:** 1. Table 1, line 33, column 6 **OLAP:** 1. AVA Table 1 Referrals – Age band & Client Type dimension: Age 18 and over, 18+ excluding unknown
Chart 04 Repeat referrals as a percentage of all referrals	**AVA return:** 1. Table 1, line 34, column 9 **OLAP:** 1. AVA Table 1 Referrals – Repeat Referrals dimension; % distribution measure	**AVA return:** 1. Table 1, line 34, column 6 **OLAP:** 1. AVA Table 1 Referrals – Year dimension
Chart 05 Completed referrals as a percentage of all referrals	**AVA return:** 1. Table 1, line 34, column 12 **OLAP:** 1. AVA Table 1 Completed Referrals – Year dimension	**AVA return:** 1. Table 1, line 34, column 6 **OLAP:** 1. AVA Table 1 Referrals – Year dimension
Chart 06 Percentage of all referrals where key information about the vulnerable adult was incomplete	**AVA return:** 1. Table 1, line 34, column 6 *MINUS* 2. Table 1, line 33, column 6 **OLAP:** 1. AVA Table 1 Referrals – Year dimension 2. AVA Table 1 Referrals – Age band & Client Type dimension: Age 18 and over, 18+ excluding unknown	**AVA return:** 1. Table 1, line 34, column 6 **OLAP:** 1. AVA Table 1 Referrals – Year dimension
Chart 07 Percentage of all referrals the vulnerable adult was known to the CASSR at time of referral	**AVA return:** 1. Table 1, line 36, column 6 **OLAP:** 1. AVA Table 1 Referrals – Age band & Client Type dimension: Age 18 and over, 18+ Including Unknown, of which Known to CASSR at time of alert/referral; % distribution measure	**AVA return:** 1. Table 1, line 34, column 6 **OLAP:** 1. AVA Table 1 Referrals – Year dimension
Chart 08 Self, friends or family referrers as a percentage of all referrers	**AVA return:** 1. Table 3, sum of lines 12, 13, 14, column 8 **OLAP:** 1. AVA Table 3 – Source of Referral dimension: Self Referral, Family Member, Friend/neighbour; % distribution measure	**AVA return:** 1. Table 3, line 21, column 8 **OLAP:** 1. AVA Table 3 – Year dimension

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Chart 09 Distribution of referral sources	**AVA return:** 1. Table 3, line 1, column 8 2. Table 3, line 8, column 8 3. Table 3, line 15, column 8 4. Table 3 sum of lines 12, 13,14, column 8 5. Table 3 sum of lines 16, 18, 20, column 8 6. Table 3 sum of lines 17 & 19, column 8 **OLAP:** 1. AVA Table 3 – Source of Referral dimension; % distribution measure	**AVA return:** 1. Table 3, line 21, column 8 **OLAP:** 1. AVA Table 3 – Year dimension
Chart 10 Distribution of location the alleged abuse took place	**AVA Return:** 1. Table 5A, line 1, column 5 2. Table 5A, sum of lines 2-5, column 5 3. Table 5A, line 6, column 5 4. Table 5A, sum of lines 7-10, column 5 5. Table 5A, line 11, column 5 6. Table 5A, sum of lines 12-15, column 5 7. Table 5A, line 16, column 5 **OLAP:** 1. AVA Table 5A – Location of Alleged Abuse dimension; % distribution measure	**AVA return:** 1. Table 5A, line 17, column 5 **OLAP:** 1. AVA Table 5A – Year dimension
Table 11 Relationship to alleged perpetrator shown as a percentage of all relationships recorded	**AVA return:** 1. Table 6A line 1, column 9 2. Table 6A line 2, column 9 3. Table 6A line 3, column 9 4. Table 6A line 4, column 9 5. Table 6A line 5, column 9 6. Table 6A line 12, column 9 7. Table 6A line 13, column 9 8. Table 6A line 14, column 9 9. Table 6A line 15, column 9 10. Table 6A line 16, column 9 11. Table 6A line 17, column 9 **OLAP:** 1. AVA Table 6A – Relationship of the Alleged perpetrator dimension; % distribution measure	**AVA return:** 1. Table 6A line 18, column 9 **OLAP:** 1. AVA Table 6A – Year dimension

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Chart 12 Distribution of the relationship between the alleged perpetrator who is social care staff and the vulnerable adult	**AVA return:** 1. Table 6A line 6, column 9 2. Table 6A line 7, column 9 3. Table 6A line 8, column 9 4. Table 6A line 9, column 9 5. Table 6A line 10, column 9 6. Table 6A line 11, column 9 **OLAP:** 1. AVA Table 6A – Relationship of the Alleged perpetrator dimension: Social Care staff, of which Domiciliary Care Staff, of which Residential Care Staff, of which Day Care Staff, of which Social Worker/Care Manager, of which Self-Directed Care Staff, of which Other	**AVA return:** 1. Table 6A line 5, column 9 **OLAP:** 1. AVA Table 6A – Relationship of the Alleged perpetrator dimension: Social Care staff Total
Chart 13 Acceptance of protection plan	**AVA return:** 1. Table 8C, lines 1-3, column 10 **OLAP:** 1. AVA Table 8 – Outcome of Completed Referral dimension: Number of completed referrals where Protection Plan Offered, Protection Plan accepted, Protection plan declined, Could not consent to offer	**AVA return:** 1. Table AVA 8C, line 4, column 10 **OLAP:** 1. AVA Table 8 – Outcome of Completed Referral: Number of completed referrals where Protection Plan Offered Total
Chart 14 Distribution of case outcome/conclusion	**AVA return:** 1. Table 7A, line 10, columns 1-4 **OLAP:** 1. AVA Table 7 – Case Conclusion Status dimension; % distribution measure	**AVA return:** 1. Table 7A, line 10, sum of columns 1-4 **OLAP:** 1. AVA Table 7 – Year dimension
Chart 15 Comparison of outcomes data: Percentage of completed referrals that were not substantiated, percentage where victim outcome was 'no further action' and percentage where a protection plan was offered	**AVA return:** 1. Table 7A, line 10, column 3 2. Table 8A, line 16, column 10 3. Table 8C, line 4, column 10 **OLAP:** 1. AVA Table 7 – Case Conclusion Status dimension: Not substantiated 2. AVA Table 8 – Outcome of Completed Referral dimension: No further action, Number of completed referrals where Protection Plan Offered	**AVA return:** 1. Table 7A, line 10, columns 1-4 2. Table 1, line 33, column 12 3. Table 1, line 33, column 12 **OLAP:** 1. AVA Table 7 – Case Conclusion Status dimension total 2. AVA Table 1 Completed Referrals – Age band & Client Type dimension: Age 18 and over, 18+ excluding unknown
Chart 16 Percentage of completed referrals where the outcome was recorded as 'Other' for the victim or 'Not Known' for the perpetrator	**AVA return:** 1. Table 8A, line 15, column 10 2. Table 9, line 18 column 10 **OLAP:** 1. AVA Table 8 – Outcome of Completed Referral dimension: Other 2. AVA Table 9 – Outcome of Completed Referral - Alleged Perpetrator dimension: Not Known	**AVA return:** 1. Table 1, line 33, column 12 **OLAP:** 1. AVA Table 1 Completed Referrals – Age band & Client Type dimension: Age 18 and over, 18+ excluding unknown